

24024

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that the INFORMATION DISCLOSURE STATEMENT is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this 6th day of August, 2001.

Typed or Printed name of person signing this certificate:

Signed: Wendy A. Frick  
Wendy A. Frick

RECEIVED

AUG 13 2001

TECH CENTER 1600/2000

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kaumaya, et al.

Serial No.: 09/632,036

Filed: August 3, 2000

For: **POLYPEPTIDES AND  
POLYNUCLEOTIDES FOR  
ENHANCING IMMUNE REACTIVITY  
TO HER-2 PROTEIN**

Examiner: Hunt, J.

Art Unit: 1642

Attorney Docket No.: 18525/04011

Assistant Commissioner for Patents  
Washington, D.C. 20231SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The information enclosed and cited on the accompanying form PTO-1449 is hereby submitted in compliance with 37 CFR §§1.56, 1.97 and 1.98 for consideration by the Examiner in connection with the application.

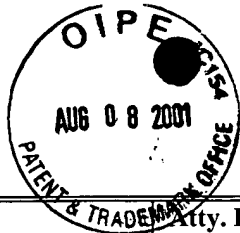
Respectfully submitted,

Date:

August 6, 2001

By:

Pamela A. Docherty  
Pamela A. Docherty, Reg. No. 40,591  
(216) 622-8416



RECEIVED

AUG 13 2001

Page 1 of 1

Subst. Form PTO-1449

Applicant: Docket No.: 18525/04011 TECH CENTER 1600/2900

Serial No.: 09/632,036

APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Applicant: Kaumaya, et al.

Filing Date: August 3, 2000

Group: 1642

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
	AK						
	AL						
	AM						
	AN						
	AO						

## OTHER PRIOR ART

	AP	Abstract P751 "The Immunogenicity of Peptide Versus DNA Vaccine of an HER-2 CTL Epitope for Breast and Ovarian Cancers" by Jiang, et al., 16 <sup>th</sup> American Peptide Symposium, June 26 – July 1, 1999, Minneapolis, Minnesota.
	AQ	"Evaluation of the immunogenicity of peptide and DNA constructs for HER-2/neu epitopes" by Jiang, et al., <u>Peptides for the New Millennium</u> , (Eds. Fields, G.B., Tam, T.P., and Barany), Kluwer Academic Publisher, Dordrecht, Netherlands, 2000, pp. 695-696.
	AR	
	AS	
	AT	

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformation with MPEP 609; draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.